

5. Licensing and Operating History

5.1 The Applicant is establishing a new gaming operation with no previous record of gaming

5.2 The Applicant has financial interests in gaming operations already operating in Malta or abroad:

5.2.1 Current major gaming activities

- Betting (includes sportsbooks, betting shops)
- Betting Exchange
- Casino, lotteries, poker rooms, etc.
- Other, please specify _____

5.2.2 Structure of Corporate Body seeking a licence in Malta as per Business Plan:

- Single Member Entity
- Mother Entity
- Subsidiary Entity

5.2.3 List all Countries of Incorporation and the dates of registration

Countries	Date
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

5.2.4 List all Countries where the Applicant has a relevant gaming licence

<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>

5.2.5 List all Countries where the Applicant has previously sought or is seeking a relevant gaming licence:

Countries
Countries
Countries
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Countries
Countries

5.2.6 Has the Applicant ever been refused a Gaming Licence in any other jurisdiction? Y N

5.2.7 Has the Applicant or any intermediary business ever been party to a lawsuit (provide details)? Y N

5.2.8 Has any interest or share in the profits ever been pledged or hypothecated as security for a debt, or deposited as a security for the performance of an act, or to secure the performance of a contract? Y N

5.2.9 Does the Applicant intend to transfer its current Gaming Operation to Malta? Y N

5.2.10 Shall it remain operational once a Gaming Devices Licence is granted in Malta? Y N

5.2.11 Indicate the number of employees the Applicant seeks to employ within the first two years of operating in Malta:

6. Operating Procedures

6.1 Central System

Please give a description of the specifications and the location of the central system to which all relevant gaming devices will be connected to, if applicable

(Please note that the central system shall require a separate Class 4 licence)

Location of Central System:

Licensed Central System under a Class 4: Y N In Progress (Please indicate)

Specifications of Central System:

6.2 Operating Hours - These shall be declared in the (Gaming Devices Premises Certificate of Approval Application Form)

6.3 Proper conduct of business

Please provide detailed information on the manner in which the Applicant intends to ensure the proper conduct of its business, including the procedures to be adopted in order to ensure the timely repair and maintenance of the relevant gaming devices as and when necessary.

7. Declaration

I, (Name and Surname) _____ of Identity Card Number _____
and residing at _____, solemnly declare
that as the appointed representative contact person of (Applicant Name) _____

I have personally completed this Gaming Devices Class 3 Licence Application Form (the 'Application Form') to which this Declaration is appended to.

I hereby certify that all statements contained in and attached to this Application Form are correct to the best of my knowledge and complete.

I confirm that all the information that I have submitted in support of this application is complete and true and that I understand that knowingly making a false statement for this purpose is tantamount to a criminal offence.

I understand that misrepresentation or failure to submit any information requested by the Malta Gaming Authority (the 'Authority') shall be deemed as good and sufficient cause for a refusal to issue a Class 3 Licence being applied for or for an eventual revocation if such misrepresentation or failure is discovered at a later stage.

I understand that should the information provided in relation to this Application Form cease to be correct, or if there are any changes in the information provided in the Application Form, it is my responsibility to advise the Authority immediately. Failure to do so could result in any licence issued being reviewed and possibly suspended or revoked.

The Authority may request confirmation or further information from any appropriate third parties in respect of evidence or documentation I have provided in support of this Application Form. I agree to authorise the Authority to request and receive information about me from such third parties.

By signing this declaration I am agreeing to all of the above statements.

Signature _____

Date

DD	MM	YY		

Witnessed by: _____ at _____ this _____
(Name of Witness in block letters)

Signature of Witness _____

Capacity of Witness _____

8. Authorisation to Release Information

I, _____, as the appointed representative Contact Person legally empowered to act for and on behalf of (Name of Applicant) _____, identified in this Gaming Devices Class 3 Licence Application Form hereby declare on behalf of the Applicant that –

I understand that the Malta Gaming Authority (the 'Authority') reserves the right to investigate all relevant data and facts to their satisfaction.

I authorise the Authority to conduct a complete and comprehensive investigation to determine the accuracy of all information gathered and I hereby release, waive, discharge and agree not to hold the Authority responsible for the receipt and use of such data, other than for unlawful processing of such information, acquired during investigations and inquiries.

I authorise any person or entity contacted by the Authority to provide any and all such data deemed necessary by the Authority. I hereby waive any rights of confidentiality in this regard.

I understand that by signing this authorisation on behalf of the applicant, a financial record check may be performed.

I hereby authorise any banking and, or financial institution to surrender to the Authority a complete and accurate record of any transactions that may have occurred with that institution, including, but not limited to internal banking memoranda, past and present loan applications, financial statements and any other documents relating to business financial records in whatever form and wherever located.

I hereby authorise the lawful use, disclosure or publication of this data.

I understand that by signing this authorisation, I am giving my explicit consent to the Authority to collect and process personal data, including sensitive personal data which relates to the data subject/s involved in the operation of the Applicant and I declare that I have the necessary powers to grant this authorisation.

Signature _____

Identity Card Number

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Date

DD	MM	YY							

Data Protection Clause

The Malta Gaming Authority (the 'Authority') is a data controller under the terms of the Data Protection Act (Cap. 440 of the Laws of Malta). The information provided on this legal form will be processed for the purposes necessary for the Authority to carry out its functions and meet its legal obligations.

The data may be shared with third parties who fulfill a service on behalf of and under the express instructions of the Authority and other bodies where it is necessary to do so in order to carry out the Authority's functions and where the Authority is legally required or permitted to do so.

The Authority will not discriminate unfairly against any subject of a disclosure on the basis of conviction or other information revealed. However, the existence of a conviction for an offence deemed relevant by the Authority is a ground to refuse a licence.

Any material or information which you send to us and which we record will be treated as confidential and will only be disclosed to others where it is necessary to do so in order to carry out the Authority's functions or where the Authority is required by law to disclose the information.

Enclosures

Please mark the boxes of the following enclosures have been attached and indicate number of copies:

- Memorandum and Articles of Association (if applicable)
- Company Registration Certificate (if applicable)
- Copies of Patents and/or Trademarks (if applicable)
- Document Delegating Powers to Representative (if applicable)
- Copy of any Other Relevant Gaming Licence/s (if applicable)
- Copies of published Audited Accounts (last three - if applicable)
- Any agreements, pre-agreements or drafts thereof entered into or under negotiation (as the case may be) between the applicant and: a Class 1 Licensee; any person applying for a license to manufacture, assemble, repair or service relevant gaming devices; a Class 2 Licensee; any person applying for a license to place on the market, distribute, supply, sell, lease or transfer relevant gaming devices; a Class 3 Licensee; any other person applying for a license to make available for use, host or operate relevant gaming devices; or any other person directly or indirectly related to the making available for use, hosting or operation of the relevant gaming devices or to the approved premises
- Class 3 non-refundable Application Fee of five hundred euro (€500) (indicate payment method)
- Personal Declaration Form/s for each associated person
- A 1 year Business Plan
- The rules and regulations regarding the procedures for making use of relevant gaming devices and playing the games provided through relevant gaming devices
- The procedures for printing, validation and authentication of vouchers or tokens issued by relevant gaming devices
- The procedures for the exchange or redemption of vouchers or tokens issued by relevant gaming devices, for prizes

- In the case of premises proposed to be used as a facility for the purposes of the redemption or exchange of any voucher or token issued by relevant gaming devices, the Applicant should submit details of the proposed premises for the relevant Approval.
- The proposed prizes to be awarded to players by way of redemption or exchange of vouchers or tokens issued by approved relevant gaming devices
- Where applicable, the proposed procedures for registering and recording on the central system all gaming and other processes taking place on or carried out through the relevant gaming devices
- The proposed system of reporting of payouts effected by each relevant gaming device, on a monthly basis and, or upon inspection by an inspector;
- The proposed policies, procedures and measures to ensure the compliance of the relevant gaming devices and games provided through such relevant gaming devices with the Act, these regulations and the licence;

- A declaration by a person in possession of a warrant to practice as an Architect & Civil Engineer that the proposed premises are compliant with these regulations and any relevant policy issued by the Authority;
- Certified True Copies of any other relevant certificates, such as: other Licenses and qualifications of the applicant relating to the activities to be conducted under the Class 3 Licence

Note – Your application will not be considered unless all relevant questions have been completed and the required documents submitted in full. Failure to provide the above information or to provide further information when requested by the Malta Gaming Authority (the ‘Authority’) may result in your application being determined based on the information available to the Authority at the time, which may affect the outcome of your application.

Warning - Any misrepresentation in completing this form may render the attached Declaration Form void

