**MLRO Eligibility Form[[1]](#footnote-1)**

I, **Insert Name and Surname**, holder of **Insert Issuing Country** Identity Card/Passport Number **Insert ID Card/Passport No.** and residing at **Insert Residential Address** solemnly declare that:

I have personally completed this MLRO Eligibility Form and I confirm my understanding that it is a criminal offence against the Gaming Act (Cap. 583 of the Laws of Malta) to knowingly make a false, misleading or otherwise incomplete declaration or statement or otherwise knowingly give false, misleading or otherwise incomplete information to the Authority.

I hereby confirm, after having read and understood the requirements under Regulation 15 of the Prevention of Money Laundering and Funding of Terrorism Regulations, S.L 373.01 of the Laws of Malta [the ‘PMLFTR’] and Chapter 5 of both the Implementing Procedures Part I and the Implementing Procedures Part II of the Remote Gaming Sector, that I am eligible to hold the Money Laundering Reporting Officer [the ‘MLRO’] role with (Insert Name of the Company) [the ‘Company’], bearing registration number (Insert Company Registration Number), for the following reasons:

|  |  |
| --- | --- |
| * I am directly employed with and/or am an executive director of the Company or any one of the companies within the corporate group licence (Please tick if applicable); or, |  |
| * I am employed with an entity which forms part of the group[[2]](#footnote-2) to which the Company belongs, which entity is also a subject person under the PMLFTR or bound by equivalent AML/CFT regulations or has been set up by the group company specifically to offer intra-group services to companies within the group to fulfil their AML/CFT obligations (Please tick if applicable). |  |
| * I am in a senior position and empowered to act independently of management in fulfilling and exercising my duties; |  |
| * I do not undertake any functions which could be deemed conflicting with my role as MLRO, including but not limited to data protection or internal audit-related functions; |  |
| * I do not have any actual or potential conflicts of interest with my duties and functions of MLRO[[3]](#footnote-3); and, |  |
| * I do not solely occupy the position of company secretary within the Company. |  |

I also hereby confirm that I have the required knowledge and/or experience to occupy the post of MLRO and that I am fully committed to this role and will be dedicating sufficient time to it in order to be able to perform my duties diligently and effectively.

I confirm that I will be acting as a referral point on all AML-related matters with the competent authorities and I will make myself available at all times for this purpose.

I confirm that I will tender my resignation and notify the Authority and the Financial Intelligence Analysis Unit forthwith, should there be any changes or developments which render me ineligible to undertake the functions expected of an MLRO. I understand that failure to do so could result in the revocation or suspension of any approval issued in this regard.

Signature [of Applicant] \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Witnessed by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ at \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[Name of Witness in block letters] [Identification Number] [Location]

Signature [of Witness] \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Capacity [of Witness] \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. A separate form must be signed by the key AML/CFT function applicant for each company that he/she will be appointed as MLRO. In the case of applicants who are appointed by corporate group licensees/license applicants as the MLRO of all the companies forming part of the group, one form would suffice. [↑](#footnote-ref-1)
2. "group" shall mean a parent undertaking and all its subsidiary undertakings (as defined in the Companies Act). [↑](#footnote-ref-2)
3. This would be the case, for instance, if I were a beneficial owner (as defined in the PMLFTR) of the Company, or if I were responsible for the development of the Company’s market reach/penetration, or if my remuneration were to be linked to the attainment of a financial target. [↑](#footnote-ref-3)